

32692

Customer Number

Patent
Case No.: 59349US002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: HENSON, GORDON D.

Application No.: 10/726225

Group Art Unit: 2821

Filed: December 2, 2003

Examiner: Unknown

Title: SOLID STATE LIGHT DEVICE

INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]

I hereby certify that this correspondence is being:

- ☒ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306.

June 2, 2005

Date

Signed by: Stephanie J. Raleigh

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

Under 37 CFR § 1.97(e)(1), I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Information Disclosure Statement.

Under 37 CFR § 1.704(d), I hereby state that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual

designated in 37 CFR § 1.56(c) more than thirty (30) days prior to the filing of this Information Disclosure Statement.

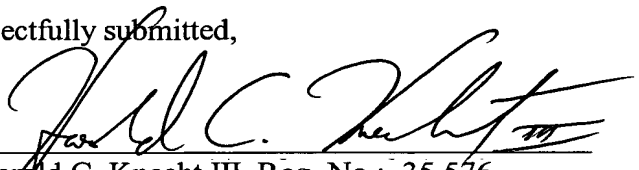
A copy of the Search Report from a foreign counterpart application is enclosed.

It is believed that no fee is due; however, in the event a fee is required, please charge the fee to Deposit Account No. 13-3723.

Respectfully submitted,

6/2/05
Date

By:


Harold C. Knecht III, Reg. No.: 35,576
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Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/726225

Filing Date

December 2, 2003

First Named Inventor

Henson, Gordon D.

Art Unit

2821

Examiner Name

Unknown

Attorney Case Number

59349US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,567,032	10-22-1996	Heizmann	
	A2	US- 5,713,654	02-03-1998	Scifres	
	A3	US- 6,045,240	04-04-2000	Hochstein	
	A4	US- 6,414,801 B1	07-02-2002	Roller	
	A5	US- 6,821,143 B2 (Equiv. of FR2840151)	11-23-2004	Gasquet et al.	
	A6	US-			
	A7	US-			
	A8	US-			
	A9	US-			
	A10	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	DE	199 23 187 A1	11-23-2000			
	B2	DE	201 20 770 U1	05-02-2002			
	B3	DE	101 10 835 A1	09-19-2002			Abstract only
	B4	DE	101 34 381 A1	01-23-2003			Abstract only
	B5	DE	101 62 404 A1	07-03-2003			
	B6	EP	1 067 332 A2	01-10-2001			
	B7	WO	01/59360 A1 (Equiv. of DE10005795)	08-16-2001			Abstract only
	B8	WO	02/086972 A1	10-31-2002			
	B9						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1		
	C2		

Examiner:*Date Considered:**

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Information Disclosure Statement)